

Audit and Compliance

SUS Data Integrity Audits and Certifications

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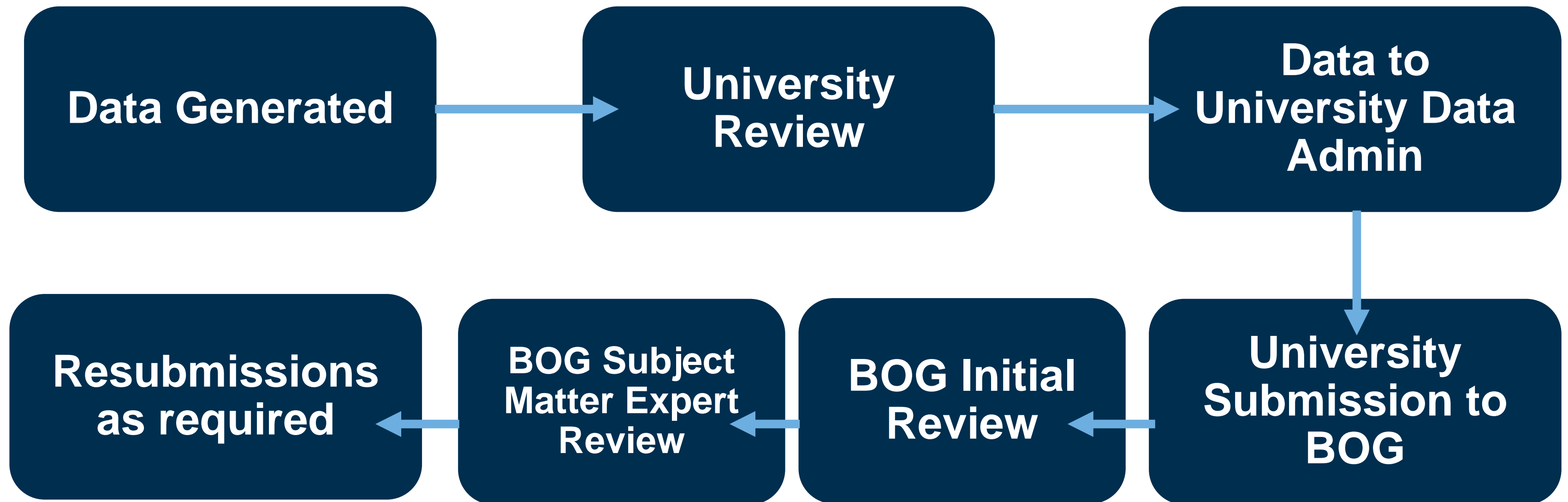
Purpose

Provides assurance for data integrity and decision-making

**Audit
Compliance**

Audit scope and objectives, professional audit standards,
data definitions provided

Data Life Cycle



CAE Data Integrity Audit Responsibilities



Verify

- Data accuracy
- Data alignment with data definitions



Review

- Data administrator hiring & duties
- Resubmissions & rationale



Evaluate

- Submission process effectiveness
- Adequacy of policies & procedures
- System access controls & user privileges

Data Integrity Audit, General Results

All universities concluded:

- Controls are adequate
- Processes ensure complete, accurate, and timely submissions
- Audits provide reasonable basis for data integrity certification

Observed Issues – Low-risk

University of North Florida - PBF

- Inaccurate instructional programs' classification code(s) reported
- Untimely registration of students in specialized courses
- Data triplication in completed course hours
- Corrective action implemented; data file resubmitted

Observed Issues – Medium-risks

University of South Florida – Preeminence

- HERD survey data submissions inaccurate (related to expenditures and salaries)
- Oversight of HERD survey control environment should be enhanced
- No impact on metrics status.

